

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: M. SEBATA, et al.

Serial No. 10/762,321

Filed: MAY 02 2005 January 23, 2004

Title: RAILWAY CAR AND BOGIE OF RAILWAY CAR

Group: 3617

Examiner: Robert J. McCarry, Jr.

Confirmation No.: 4958



**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: ISSUE FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

May 2, 2005

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Partial European Search Report in connection with an European Patent Application corresponding to the above-identified application. Also enclosed are copies of the documents cited in this Partial European Search Report. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to issuance of a Notice of Allowance in connection with the above-identified application, and concurrently with to payment of the Issue Fee. For satisfying the requirements of 37 CFR 1.97(d), the fee set forth in 37 CFR 1.17(p) is enclosed, and the following Statement under 37 CFR 1.97(e) is made:

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To the extent that the enclosed documents are not in English, requirements of 37 CFR 1.98(a)(3) are satisfied by the submitted English-language abstracts thereof.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 C.F.R. 1.97 and 1.98 have been satisfied, in connection with the present submission of the enclosed documents. Accordingly, consideration of the enclosed documents; and subsequent thereto, issuance of a U. S. Patent based upon the above-identified application in due course, are respectfully requested.

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 501.37465CC9).

Respectfully submitted,

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>O 1 INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i> <b>May 2, 2005</b>		Application Number	10/762,321
		Filing Date	01/23/2004
		First Named Inventor	M. SEBATA
		Art Unit	3617
		Examiner Name	Robert J. McCarry, Jr.
Sheet	1	of	2
		Attorney Docket Number	648.43410X00

## U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				
		JP 4-201670	07/1992	T. Sunazeki		Abstr
		JP 2002-67946	03/2002	T. Nishimura		Abstr
		EP 0 469 512 A1	02/1992	N. Kobayashi		
		WO 2004/089716 A1	10/2004	R. Schneider, et al.		
		GB 1,101,497	01/1968	T. Johnsen, et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		<b>Complete if Known</b> Application Number 10/762,321 Filing Date 1/23/2004 First Named Inventor M. SEBATA Group Art Unit 3617 Examiner Name Robert J. McCarry, Jr. Attorney Docket Number 43410X00			
<b>O P INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>MAY 02 2005</b> <b>May 2, 2005</b> <i>(use as many sheets as necessary)</i>					
PATENT & TRADEMARK OFFICE	Sheet 1 of 2	2	of	2	

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Applicant's unique citation designation number (optional).<sup>2</sup>** Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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